



YCT/GB2005/000023



INVESTOR IN PEOPLE

The Patent Office  
Concept House  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

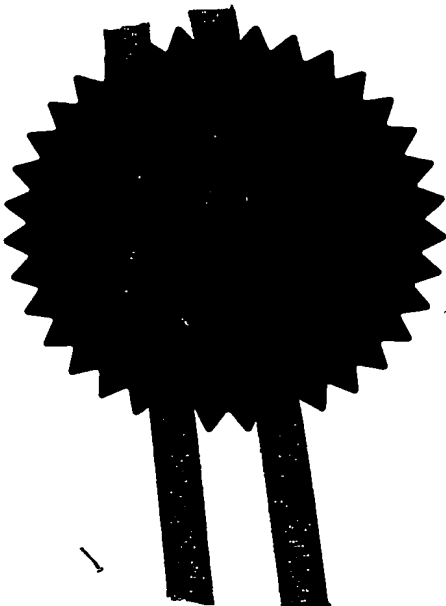
I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

I also certify that the application is now proceeding in the name as identified herein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

*Stephen Hordley*

Dated

14 March 2005



INVESTOR IN PEOPLE

GB 0404580.3

By virtue of a direction given under Section 30 of the Patents Act 1977, the application is proceeding in the name of:

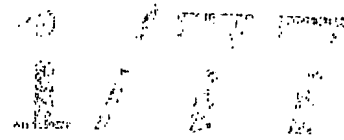
BAE SYSTEMS PLC,  
6 Carlton Gardens,  
LONDON,  
SW1Y 5AD,  
United Kingdom

Incorporated in the United Kingdom,

[ADP No. 07914674004]



The  
Patent  
Office



# Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road  
Newport  
South Wales  
NP9 1RH

1. Your reference

01 MAR 2004

XA1910

2. Patent application number

(The Patent Office will fill in this part)

0404580.3

02MAR04 ER77385-1 002887

P01/7700 0.00-0404580.3 ACCOUNT CHA

3. Full name, address and postcode of the or of each applicant (underline all surnames)

BAE SYSTEMS (Defence Systems) Limited

Warwick House, P.O. Box 87, Farnborough  
Aerospace Centre, Farnborough, Hampshire,  
GU14 6YU

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

07853849001

United Kingdom

4. Title of the invention

IMPROVEMENTS IN OR RELATING TO CALL CONTROL

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

BAE SYSTEMS plc  
Group IP Department  
Lancaster House, P.O. Box 87  
Farnborough Aerospace Centre  
Farnborough, Hampshire, GU14 6YU

Patents ADP number (if you know it)

07914674002

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number  
(if you know it)

Date of filing  
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if

YES

a) any applicant named in part 3 is not an inventor, or  
b) there is an inventor who is not named as an applicant, or

c) any named applicant is a corporate body.

See note (d))

# Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description 09 —

Claim(s) 01 —

Abstract 01 —

Drawing(s) 03 + 3 *SW*

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (*Patents Form 7/77*)

Request for preliminary examination and search (*Patents Form 9/77*) 1

Request for substantive examination (*Patents Form 10/77*)

Any other documents (*please specify*)

11.

I/We request the grant of a patent on the basis of this application.

Signature

*Margaret Mackett*  
Margaret Mackett

Date

01 March 2004

12. Name and daytime telephone number of person to contact in the United Kingdom

Maria Burkes 01252 383487

## Warning

*After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.*

## Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.*
- Write your answers in capital letters using black ink or you may type them.*
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.*
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.*
- Once you have filled in the form you must remember to sign and date it.*
- For details of the fee and ways to pay please contact the Patent Office.*

- 1 -

## IMPROVEMENTS IN OR RELATING TO CALL CONTROL

The present invention relates to improvements in or relating to call control and is more particularly, although not exclusively, concerned with call admission.

5 In traditional telephony, that is, circuit switched telephony, for a call to be established between two remote telephones, that is, telephones connected to different local exchanges, signalling is used to establish a path prior to establishing the call itself. The path in the above example comprises initiating  
10 telephone to its local exchange, initiating local exchange to trunk connection, trunk connection to receiving local exchange, and receiving local exchange to receiving telephone. Here, the signalling and the call usually take the same path and there is full control of the path through each element in the path. As there is full control, it is relatively straightforward to determine whether a call between two telephones can be established or not.

15 In conventional internet protocol (P) telephony, the local exchanges are replaced by local 'gatekeepers' which communicate with one or more trunk gatekeepers to establish the path between the initiating telephone and the receiving telephone. Here, signalling is effected through the trunk gatekeeper(s) but the call does not take the same path. In this case, the trunk  
20 gatekeeper(s) control the bandwidth which can be used in establishing the call, and if the bandwidth is not sufficient, the call is not established.

With the advent of opaque trunk IP telephony, there is no gatekeeper in the IP network which forms the "trunk". As a result, there is effectively no control over being able to establish a call successfully. Here, the initiating  
25 telephone cannot be certain that a call, once established, will be successfully completed.

It is therefore an object of the present invention to provide a method of call admission control that overcomes the disadvantages described above.

In accordance with one aspect of the present invention, there is provided  
30 a method of call control for a continuous stream of data in packet switched

switched networks including at least two local area networks communicating to one another across a connecting network, the method comprising the steps of:

- a) determining an acceptable packet loss rate for a call which is to be established between two of the local area networks;
- 5 b) comparing actual packet loss rate to the acceptable packet loss rate; and
- c) dropping the call if the actual packet loss rate is greater than the acceptable packet loss rate.

Step c) may include determining for how long a period the actual packet loss rate has been happening and utilising that period in deciding to drop the call.

Additionally, a recorded announcement may be played when the call is to be dropped.

Alternatively, the priority of the transmission of the continuous stream of data may be changed when the actual packet loss rate is not acceptable and steps a) to c) above may be repeated.

In one embodiment of the present invention, data relating to dropped calls may be stored for future use.

For a better understanding of the present invention, reference will now be made, by way of example only, to the accompanying drawings in which:-

Figure 1 illustrates a conventional circuit switched telephony network;

Figure 2 illustrates a conventional IP telephony network; and

Figure 3 illustrates an opaque trunk IP telephony in accordance with the present invention.

25 Referring initially to Figure 1, a plurality of telephones 100, 200, 300 connected to respective local telephone exchanges 120, 220, 320 by respective lines 140, 240, 340. If a call is to be made between telephone 100 and telephone 200, the call must be routed via exchange 120, trunk connection 400

and exchange 220. Here, the trunk connection 400 includes a trunk exchange 420 which determines whether the call can be established.

Similarly, if a call is to be made between telephone 100 and telephone 300, it is routed from telephone 100 via exchange 120, a trunk connection (not shown) between exchange 120 and exchange 320, and exchange 320 to telephone 300.

Naturally, each exchange 120, 220, 320 has more than one telephone 100, 200, 300 connected to it and other trunk connections are provided between pairs of exchanges 120, 220, 320.

Referring now to Figure 2, two networks 10, 20 are shown which are connected to one another via a connecting network 30. Network 10 includes a plurality of telephones 12, 14, 16 and a gatekeeper 18 and network 20 includes a plurality of telephones 22, 24, 26 and a gatekeeper 28. Gatekeepers 18, 28 are known as 'local' gatekeepers and each gatekeeper 18, 28 controls calls made into and out of its associated network 10, 20.

Although three telephones are shown in each network, it will be appreciated that the number of telephones in each network may be any suitable number in accordance with the application of the network. It will also be appreciated that one network may have a different number of telephones to the other network.

As shown, connecting network 30 also includes a gatekeeper 32 for controlling the calls routed through the network 30. Gatekeeper 32 is known as a 'trunk' gatekeeper.

It will be understood that if telephone 12 in network 10 wants to make a call to telephone 22 in network 20, as indicated by the dotted arrow 40, the call is routed from telephone 12 to gatekeeper 18 for onward routing through the connecting network 30. In the connecting network 30, the call is routed through gatekeeper 32 and then to gatekeeper 28 in network 20 prior to being routed to telephone 22. At each gatekeeper 18, 32, 28, there is a possibility of the call being dropped if the bandwidth of the respective gatekeeper is not sufficient at the time the call is to be made.

In Figure 3, two networks 50, 60 are shown which are connected to one another via a connecting network 70. However, it will be appreciated that more than two networks may be connected to the connecting network 70, and only two networks 50, 60 are shown for clarity and ease of description.

5 Each network 50, 60 includes a plurality of telephones (although only two telephones 52, 54 and 62, 64 are shown for clarity). Each network 50, 60 also includes a respective gatekeeper 56, 66. It will be appreciated that networks 50, 60 are similar to networks 10, 20 of Figure 2.

10 The connecting network 70 includes a plurality of routing nodes 72, 74, 76, 78, 80 for routing calls within the network 70 from one network 50, 60 to another. Each pair of nodes 72, 74, 76, 78, 80 is connected together by a link or connection. It is to be noted that not every node need be connected to each other node.

15 In the embodiment illustrated in Figure 3, node 72 is effectively connected to network 50 and node 80 is effectively connected to network 60. Node 72 is also connected to nodes 74, 76 and 78 and node 80 is also connected to nodes 74, 76 and 78. There is no direct connection between nodes 72 and 80 in the connecting network 70.

20 If a call is to be placed from telephone 54 in network 50 to telephone 62 in network 60, it is routed via gatekeeper 56 to node 72 in connecting network 70. As there is no direct connection between node 72 and node 80, the call may be routed to node 80 in one of several ways. For example, the routes may via the following nodes:-

- via node 74 - links 82 & 84
- 25 • via node 76 - links 86 & 88
- via node 78 - links 90 & 92
- via nodes 74 and 76 - links 82, 94 & 88 (or links 86, 94 & 84 in the other direction)
- via nodes 74 and 78 - links 82, 96 & 92



- 5 -

- via nodes 76 and 78 - links 86, 98 & 92 (or links 90, 98 & 88 in the other direction)
- via nodes 74, 76 and 78 - links 82, 94, 98 & 92 (or other combinations of the same links dependent the direction)

5        It will be appreciated that, for each node through which a call is to be routed, there is a possibility of packets from the continuous stream of data comprising a call being lost depending on the available bandwidth in the link between each pair of nodes.

10        In Figure 3, the networks 50, 60 comprise 'local' networks which are controlled by the respective gatekeeper 56, 66. In this case, the connecting network 70 does not include a gatekeeper in the same way as Figure 2. In effect, availability of bandwidth in the connecting network 70 is opaque to both local networks 50, 60 and can be considered to be an opaque 'trunk' connection.

15        Whilst the present invention has been described with reference to calls being made from one telephone to another, it will be appreciated that the present invention is equally applicable to other types of traffic. Such traffic, for example, transmissions and communications, include management and signalling transmissions (rate limited), video transmissions and data  
20        transmissions. Traffic can be transmitted in the form of Internet Protocol (IP) packets. The traffic may comprise continuous streams of data and may be rate limited. Each packet may be encrypted for secure transmission in accordance with a suitable packet cryptograph. Encryption is carried out in the local network by the transmitting node or another node and/or another element (not  
25        shown) located within that network.

      It will readily be appreciated that it is possible to prioritise traffic within an IP network so that certain types of traffic have particular priorities. It will also be appreciated that the priority of the traffic can be altered as required.

30        The issue of congestion only arises when there is insufficient bandwidth for a particular kind of traffic, either because link capacity is occupied by traffic of a higher priority, or because a link physically does not have the required

bandwidth. Independent transmitters or nodes must detect congestion and individually react to it so as to reduce the load presented to the network and avoid 'congestion collapse'.

Congestion can arise in two ways:-

- 5 1. A particular transmitter or node can start up, adding extra load to an adequately functioning network.
2. The bandwidth available in the network can change, either because of higher priority traffic being given preference or because of a routing change in the network, so that traffic is now carried over a lower capacity link.

10 In either case, the transmitter or node must implement a congestion avoidance scheme so as to allow as much traffic as possible at that priority level to successfully transit the network.

The vast majority of the data traffic will be transmitted using  
15 Transmission Control Protocol (TCP) which has extremely robust congestion behaviour. TCP allows for the reliable transfer of data if there are no time constraints as it allocates the available bandwidth as fairly as possible. TCP uses 'slow start' (TCP-slow start) to avoid putting a sudden extra load on the network when a transmitter or node first starts up and does not yet know what is  
20 an appropriate transmission rate. Data acknowledgements are used as the feedback mechanism by which the transmitter or node maintains the appropriate rate of transmission in the steady state. A transmitter or node gradually attempts to transmit faster and faster, but when congestion is detected it backs off rapidly (known as TCP-backoff). The result is that TCP  
25 transmitters or nodes can maintain a total load on a network that is very close to capacity, but when the available network bandwidth suddenly changes they adapt to it very rapidly.

Congestion management mechanisms of similar robustness must therefore be used for voice transmissions too, so as to avoid congestion  
30 collapse within a particular priority level used for transmission of continuous streams of data. To this end, three mechanisms can be implemented either in

the phone in the local network or by the gatekeeper associated with the local network. In the mechanisms below, calls are transmissions of continuous streams of data in a packet switched network.

5       The first mechanism requires that telephones that have set up a call and are in voice (transmitting) will inspect the recent history of voice packets from the telephone to which they are connected. A packet loss rate of 10% is very hard for a subscriber to hear, so there is a considerable margin of detectable packet loss before the call will appear degraded to a subscriber. The decision of when to drop a call is based on the loss rate and the time for which the loss  
10       has been happening. In this case, because congestion is detectable earlier by a telephone than by its subscriber, it is possible to insert a recorded announcement that the call would be dropped due to network congestion and allow a grace period of a few seconds before the call was cut off. This happens while the call is of acceptable quality. Since the main reason for such  
15       congestion will be calls at a higher priority, such a mechanism should be highly acceptable to the users. From a human-factors point of view, it is also likely that users with less important calls or calls that have only recently begun would chose to clear them down themselves if there was a perceivable call degradation. This mechanism is equivalent to TCP-backoff described above.

20       In this mechanism, when a decision is made to drop a call, this information is relayed to the gatekeeper for statistical measurement. This enables an estimate of whether a call will be successful to be provided to the telephone when it attempts to set up a call.

25       The second mechanism requires that telephones which are setting up a call will send a trial burst of 'ping' packets to the telephone which they are attempting to call before they send the signalling message which will cause the other telephone to ring. This 'bandwidth probe' might use four or five ping packets of the same size and priority as the voice packets that will be used when the call is in voice but more closely spaced in time. This puts a link briefly  
30       into overload by sending a short duration but high bandwidth pulse. The effect of these pings on established voice calls will be small, but if a link is close to congestion some of these packets will either suffer increasing delays or be lost

altogether. By analysing the returned packets, the telephone can decide how close to congestion the path to the other telephone is and consequently the probability that the call will be of acceptable quality if it is set up. The optimal number and spacing of these pings can be chosen in accordance with the requirements of a particular network or system. A suitable algorithm for assigning a call success probability based on the arrival and time of the returned packets can also be determined. Based on this estimated probability the telephone will then make a random decision as to whether to continue with the call or to clear it down. If it is decided to clear the call down, the subscriber can be presented with a message to the effect that the network path to that destination was congested and that they should try again later. This call admission mechanism is more cautious than the first mechanism so that existing calls continue in the face of slight congestion but new calls are not admitted. This mechanism is equivalent to TCP-slow start described above.

In this mechanism, the decision to either clear a call down or continue with the call is based on an acceptable packet loss rate for the particular transmission of continuous stream of data. It is possible to change the priority of the call to a higher priority if the packet loss rate is not acceptable. This change in priority tends to increase the success rate of the call being established.

The decision may be made by the telephone initiating the call, by another telephone or element in the same local network as initiating telephone, or by a human operator.

The third mechanism requires that a gatekeeper that is asked to set up a call will decide whether to allow even the initial trial burst to be used. On very heavily congested links where the offered call load is very much higher than the current capacity, the sum of the small transient loads from the initial bursts of many call attempts will be high enough to cause current calls in voice to be adversely affected and even dropped. However, by using statistics about the success or failure (and current loss rates) of calls to telephones controlled by other gatekeepers, the gatekeeper for a calling telephone can construct an estimate of loss probability for this new call. Based on this loss probability, the

gatekeeper can make a random decision whether to permit even the initial trial burst of a call, or whether to stop it immediately. This mechanism has no equivalent in TCP but is very similar to 'call-gapping' used in public telephone networks. 'Call-gapping' operates to reduce the congestion by dropping call attempts very close to a calling subscriber when focussed overloads are detected. A focussed overload occurs when many people attempt to call a particular telephone number at the same time. When a call attempt is dropped, the caller is presented with an engaged tone.

It will be appreciated that, although each of the three mechanisms have been described as operating independently, it is possible to have all three operating on the same call. For example, the first mechanism indicates that a call can be continued based on recent call history of the telephone being called, the third mechanism determines if the trial burst of ping packets can be transmitted, and the second mechanism determines the packet loss rate for the path chosen by the connecting network between the local networks containing the calling telephone and the telephone being called.

By using the first two mechanisms, voice calls in a network of a few thousand subscribers should be handled appropriately under almost all congestion conditions. The reasons for call clear down will be apparent to the users so there should be little user frustration or spurious re-calling.

When the third mechanism is also used, the congestion handling should be extremely robust and even focussed overloads on low bandwidth congested links should be resisted well.

## CLAIMS:

1. A method of call control for continuous streams of data in packet switched networks including at least two local area networks communication with one another across a connecting network, the method comprising the steps of:
  - d) determining an acceptable packet loss rate for a call which is to be established between two of the local area networks;
  - e) comparing actual packet loss rate to the acceptable packet loss rate; and
  - 10 f) dropping the call if the actual packet loss rate is greater than the acceptable packet loss rate.
2. A method according to claim 1, wherein step c) includes determining for how long a period the actual packet loss rate has been happening and utilising that period in deciding to drop the call.
- 15 3. A method according to claim 1 or 2, further including the step of playing a recorded announcement when the call is to be dropped.
4. A method according to claim 1 or 2, further including the step of changing the priority of the transmission of the continuous stream of data when the actual packet loss rate is not acceptable and repeating steps a) to c).
- 20 5. A method according to any one of the preceding claims, further including the step of storing data relating to dropped calls for future use.
6. A method of call control for a continuous stream of data in packet switched networks substantially as hereinbefore described.

## ABSTRACT

## IMPROVEMENTS IN OR RELATING TO CALL CONTROL

Described herein is a method of controlling calls for packet switched networks, each network including at least two local area networks (50, 60) and a connecting network (70). The method comprises the steps of determining an acceptable packet loss rate for a call to be established between two of the local area networks, comparing actual packet loss rate to the acceptable packet loss rate, and dropping the call if the actual packet loss rate is greater than the acceptable packet loss rate. determining for how long a period the actual packet loss rate has been happening and utilising that period in deciding to drop the call. A recorded announcement may be played when the call is to be dropped. Alternatively, the priority of the transmission of the continuous stream of data can be changed when the actual packet loss rate is not acceptable and the above steps are repeated. Ideally, data relating to dropped calls is stored for future use.

(Fig. 3)

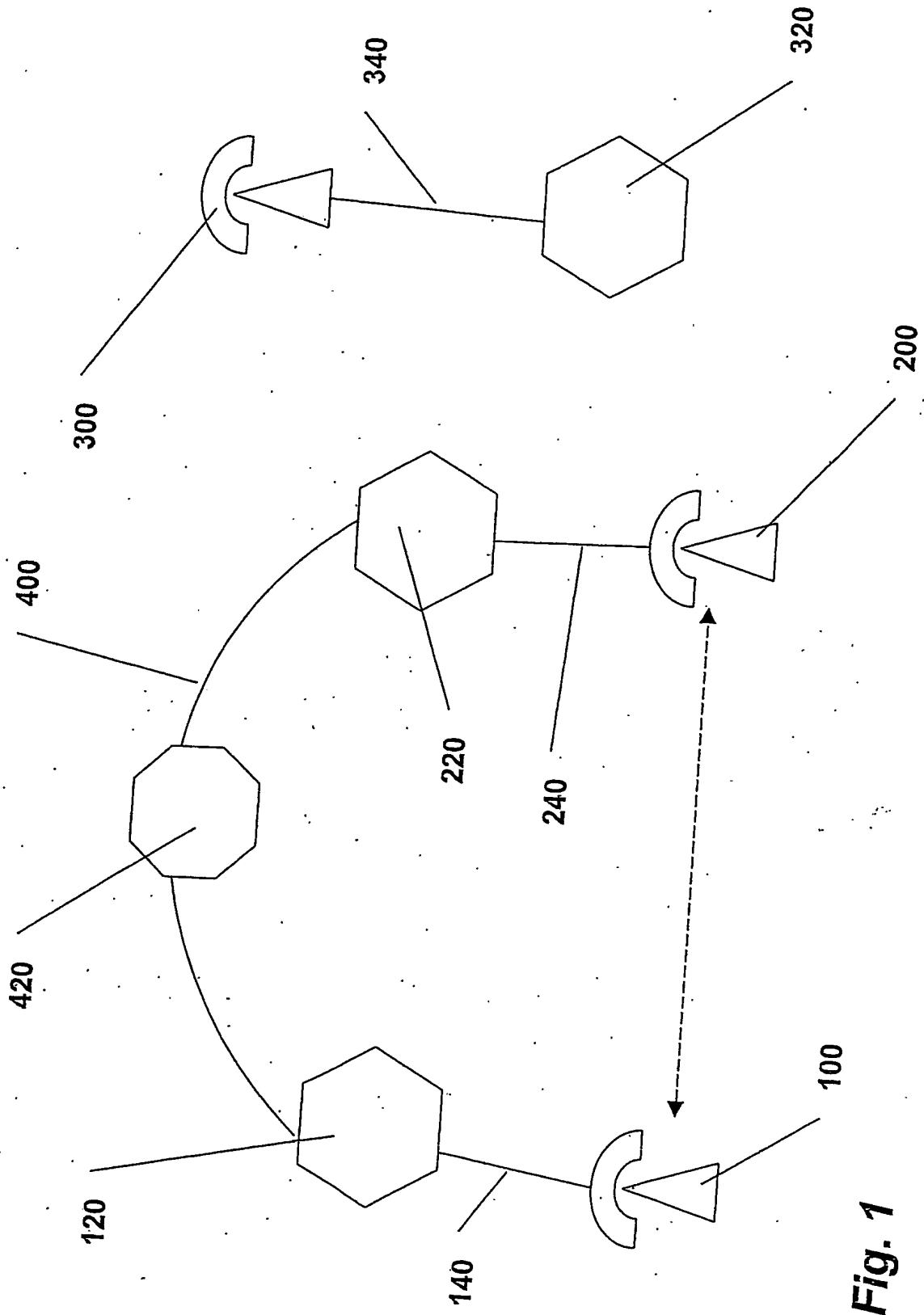


Fig. 1



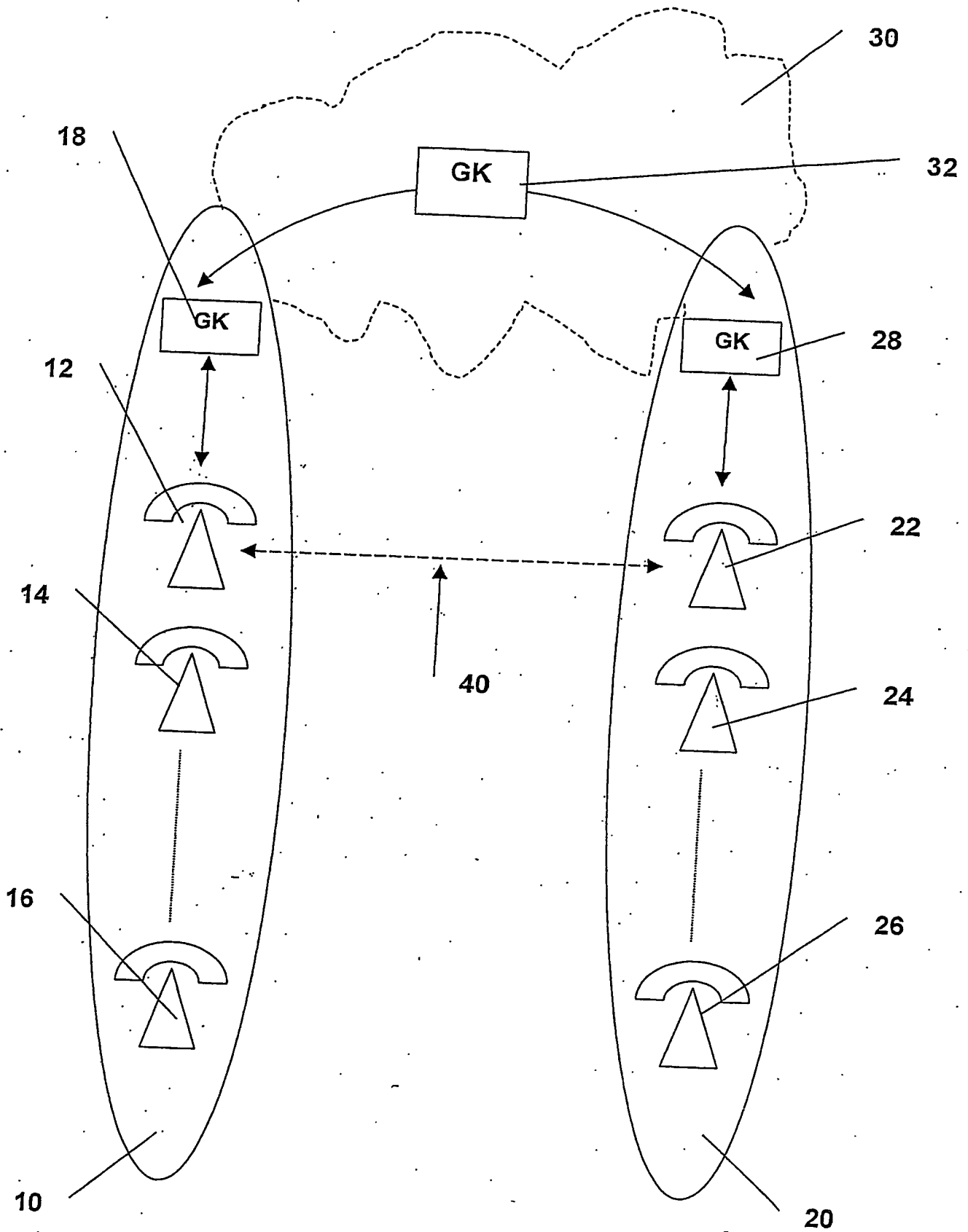


Fig. 2

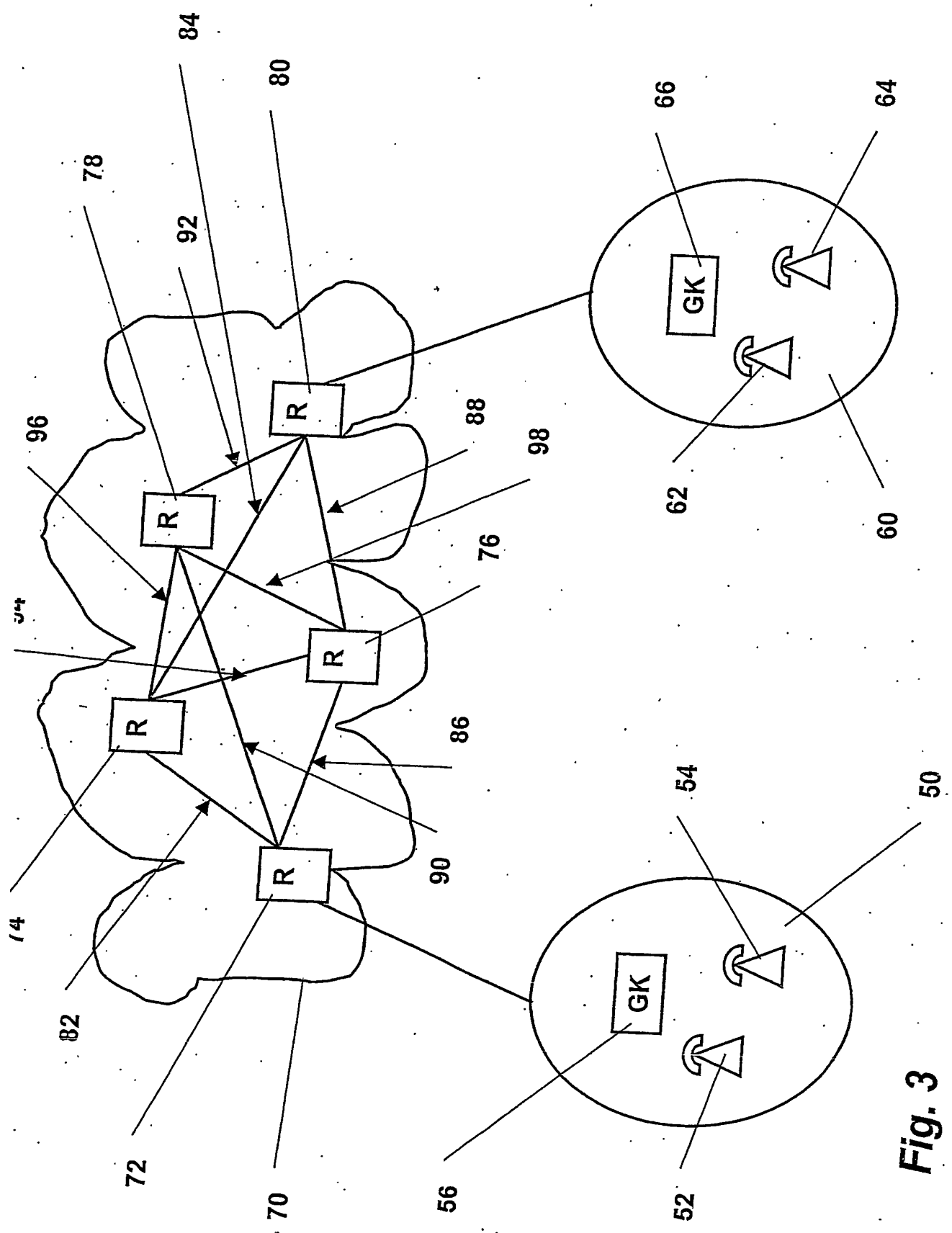


Fig. 3

# Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/GB05/050023

International filing date: 25 February 2005 (25.02.2005)

Document type: Certified copy of priority document

Document details: Country/Office: GB  
Number: 0404580.3  
Filing date: 01 March 2004 (01.03.2004)

Date of receipt at the International Bureau: 04 April 2005 (04.04.2005)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



World Intellectual Property Organization (WIPO) - Geneva, Switzerland  
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse